



CASE CO/21-22280/P1/CGC 2071/DIV 1

**CERTIFICATE OF MAILING**

I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Deborah A. Pinori

Type or print name

Deborah A. Pinori

Signature

9/11/03

Date

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

IN RE APPLICATION OF

Group Art Unit: 1625

STEPHEN D. PASTOR ET AL

Examiner: P. Morris

APPLICATION NO: 10/602,094

FILED: APRIL 17, 2003

FOR: SUBSTITUTED 5-ARYL-2-(2-HYDROXYPHENYL)-2H-BENZOTRIAZOLE  
UV ABSORBERS, COMPOSITIONS  
STABILIZED THEREWITH AND PROCESS  
FOR PREPARATION THEREOF

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**INFORMATION DISCLOSURE STATEMENT**

Sir:

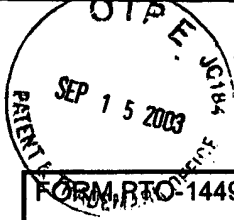
In accordance with 37 CFR 1.56, Applicants wish to call the Examiner's attention to the references cited on the attached forms PTO-1449. These references are of record in parent application Serial No. 09/722,876 filed November 27, 2000 and copies are available therein.

The Examiner is requested to consider the foregoing information in relation to this application and indicate that each reference was considered by returning a copy of the initialed PTO 1449 forms.

Respectfully submitted,

Tyler A. Stevenson  
Agent for Applicants  
Reg. No. 46,388

Ciba Specialty Chemicals Corporation  
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Encl. PTO-1449 Forms



FORM PTO-1449

INFORMATION DISCLOSURE CITATION  
IN AN APPLICATION

(Use several sheets if necessary)

Docket Number (Optional)

CO/21-22280/P1/CGC  
2071/DIV 1

Application Number

10/602,094

Applicant

STEPHEN D. PASTOR ET AL

Filing Date

APRIL 17, 2003

Group Art Unit

1625

## U. S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	4,226,763	10/80	Dexter et al	260	45.8	
	4,278,589	7/81	Dexter et al	260	45.8	
	4,315,848	2/82	Dexter et al	260	45.8	
	4,275,004	6/81	Winter et al	260	206	
	4,347,180	8/82	Winter et al	260	206	
	5,554,760	9/96	Winter et al	548	260	
	5,563,242	10/96	Winter et al	524	91	
	5,574,166	11/96	Winter et al	548	260	
	5,607,987	3/97	Winter et al	524	91	
	5,278,314	1/94	Winter et al	548	259	
	5,280,124	1/94	Winter et al	548	259	

## FOREIGN PATENT DOCUMENTS

	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
						YES	NO
	00/22037	4/00	PCT/World				
	593164	4/93	Japan			✓	
	980,886	1/65	Great Britain				
	2319035	5/98	Great Britain				
	363820	10/89	Europe				

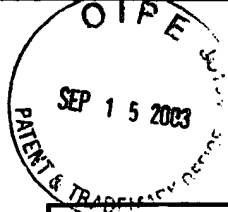
## OTHER DOCUMENTS (including Author, Title, Date, Pertinent Pages, Etc.)

	J. L. Gerlock et al., Proc. 36 <sup>th</sup> Annual Tech. Sym., May 18, 1993
	A. Suzuki, Pure & Applied Chem., Vol. 66, No. 2, pp. 213-222 (1994)
	B. E. Huff et al., Organic Synthesis, Vol. 75, pp. 53-60 (1998)

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP §609; Draw line through  
citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.

Sheet 2 of 2

FORM PTO-1449  INFORMATION DISCLOSURE CITATION IN AN APPLICATION  (Use several sheets if necessary)	Docket Number (Optional) CO/21-22280/P1/CGC 2071/DIV 1	Application Number 10/602,094
	Applicant STEPHEN D. PASTOR ET AL	
	Filing Date APRIL 17, 2003	Group Art Unit 1625

## U. S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	5,436,349	7/95	Winter et al	548	259	
	5,516,914	5/96	Winter et al	548	259	
	5,977,219	11/99	Ravichandran et al	524	91	
	4,396,712	8/83	Kinoshita et al	430	614	
	4,999,433	3/91	Prestel et al	548	260	
	5,229,521	7/93	Luisoli et al	548	260	
	5,332,655	7/94	Shono et al	430	512	

## FOREIGN PATENT DOCUMENTS

	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
						YES	NO

## OTHER DOCUMENTS (including Author, Title, Date, Pertinent Pages, Etc.)

	J. P. Wolfe et al., J. Am. Chem. Soc., (1999), Vol. 121, pp. 9550-9561
	Derwent Abstr. 1996-299547 for JP 11500579
	Chemical Abstract 131:199658x for Z. Wang et al., Huadong Ligong Daxue Xuebao, Vol. 25, No. 2, (1999) pp. 167-169

EXAMINER	DATE CONSIDERED
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EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP §609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.